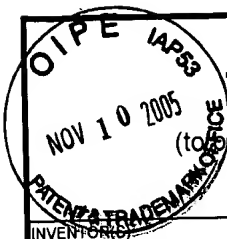


09/983070

COFC
VT

TRANSMITTAL FORM

(to be used on all correspondence after initial filing)

ATTORNEY DOCKET NO.

70030.0088US01

U.S. PATENT NO.

6,908,491 B2

ISSUE DATE

June 21, 2005

INVENTOR(S)

Vince FISCHER, et al.

TITLE OF APPLICATION

SYSTEM AND METHOD FOR COLOR-REVITALIZING HAIR

ADDRESS TO:

Certificate of Correction Branch
Commissioner for Patents
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

ENCLOSURES

- ☒ Transmittal Form (In Duplicate)
☒ Fee Transmittal (In Duplicate)
☒ Request for Certificate of Correction
☒ Certificate of Correction (1 Sheet)
☒ Copy of Recorded Assignment
☒ Return Postcard

Certificate
NOV 17 2005
of Correction

☒ Please charge Deposit Account No. 13-2725 in the amount of \$100.00 to cover any required fees. In the event any variance exists between this amount and the Patent Office charges for filing the above-noted documents, including any fees required under 35 CFR 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 13-2725. Further, if these papers are not considered timely filed, then a request is hereby made under 37 CFR 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

CORRESPONDENCE ADDRESS

☒ The address associated with Customer Number: **23552** OR ☐ the correspondence address below.

Name

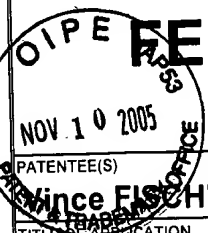
Address

City

State

Zip Code

NAME	Steven B. Kelber	REGISTRATION NO.	30,073
SIGNATURE		DATE	November 10, 2005
NAME		TELEPHONE	202 326-0300
		REGISTRATION NO.	

 <h1 style="margin: 0;">FEE TRANSMITTAL</h1>		ATTORNEY DOCKET NO. 70030.0088US01	
		U.S. PATENT NO. 6,908,491 B2	
		ISSUE DATE June 21, 2005	
		PATENTEE(S) Wince FISCHER, et al.	
TITLE OF APPLICATION SYSTEM AND METHOD FOR COLOR-REVITALIZING HAIR			
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Certain fees are reduced by 1/2.		TOTAL AMOUNT OF PAYMENT \$100.00	

☒ Please charge Deposit Account No. 13-2725 in the amount of \$100.00 to cover any required fees. In the event any variance exists between this amount and the Patent Office charges for filing the documents noted below, including any fees required under 37 CFR 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 13-2725. Further, if these papers are not considered timely filed, then a request is hereby made under 37 CFR 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed for fee processing.

The following fees have been submitted:

APPLICATION FEES

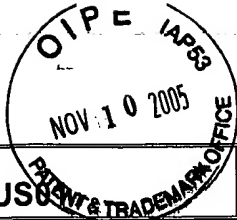
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<input type="checkbox"/>	1011	Basic Filing Fee - Utility	\$300.00	
<input type="checkbox"/>	1111	Utility Search Fee	\$500.00	
<input type="checkbox"/>	1311	Utility Examination Fee	\$200.00	
<input type="checkbox"/>	1012	Basic Filing Fee - Design	\$200.00	
<input type="checkbox"/>	1112	Design Search Fee	\$100.00	
<input type="checkbox"/>	1312	Design Examination Fee	\$130.00	
<input type="checkbox"/>	1005	Provisional Application Filing Fee	\$200.00	
<input type="checkbox"/>	1014	Basic Filing Fee - Reissue	\$300.00	
<input type="checkbox"/>	1051	Surcharge - Late Filing Fee, Search Fee, Examination Fee or Oath or Dec.	\$130.00	
<input type="checkbox"/>	1801	Request for Continued Examination	\$790.00	
<input type="checkbox"/>	1814	Terminal Disclaimer	\$130.00	
<input type="checkbox"/>	1452	Petition to Revive Unavoidably Abandoned Application	\$500.00	
<input type="checkbox"/>	1453	Petition to Revive Unintentionally Abandoned Application	\$1,500.00	
SUB TOTAL				\$ 0.00

EXTENSION OF TIME FEES

	FEE CODE	DESCRIPTION	FEE	SUBMITTED
<input type="checkbox"/>	1251	Extension for Response Within the First Month	\$120.00	
<input type="checkbox"/>	1252	Extension for Response Within the Second Month	\$450.00	
<input type="checkbox"/>	1253	Extension for Response Within the Third Month	\$1,020.00	
<input type="checkbox"/>	1254	Extension for Response Within the Fourth Month	\$1,590.00	
<input type="checkbox"/>	1255	Extension for Response Within the Fifth Month	\$2,160.00	
Credit for Extensions Previously Paid				
SUB TOTAL				\$ 0.00

APPLICATION SIZE FEES

<input type="checkbox"/> Additional Fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets or fraction thereof.				\$0.00
Total Sheets	Extra Sheets	Number of each additional 50 sheets or fraction thereof (round up to whole no.)	RATE	
0-100	/50=	0	x \$250.00 =	



DOCKET NO. 70030.0088US	U.S. PATENT NO. 6,908,491 B2	ISSUE DATE June 21, 2005
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CLAIM FEES

CLAIMS	NUMBER FILED	NUMBER PREV. PAID FOR	MAX. PAID	NUMBER OF ADD'L CLAIMS	RATE		
Total Claims	0	<input checked="" type="checkbox"/>	20	0	x \$50	\$0.00	\$0.00
Independent Claims	0	<input checked="" type="checkbox"/>	3	0	x \$200	\$0.00	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM(S)					+ \$360	\$0.00	
TOTAL OF ABOVE CALCULATIONS =							

APPEALS/INTERFERENCE FEES

	FEE CODE	DESCRIPTION	FEE	SUBMITTED
<input type="checkbox"/>	1401	Notice of Appeal	\$500.00	
<input type="checkbox"/>	1402	Filing a Brief in Support of an Appeal	\$500.00	
<input type="checkbox"/>	1403	Request for oral Hearing	\$1,000.00	
SUB TOTAL			\$ 0.00	

POST-ALLOWANCE FEES

	FEE CODE	DESCRIPTION	FEE	SUBMITTED
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<input type="checkbox"/>	1502	Design Issue Fee	\$800.00	
<input type="checkbox"/>	1503	Plant Issue Fee	\$1,100.00	
SUB TOTAL			\$ 0.00	

TOTAL OF FEES SUBJECT TO REDUCTION FOR SMALL ENTITY STATUS			\$0.00
<input type="checkbox"/>	Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.		x 1.00= \$0.00

FEES NOT SUBJECT TO REDUCTION FOR SMALL ENTITY STATUS**POST-ISSUANCE FEES**

	FEE CODE	DESCRIPTION	FEE	SUBMITTED
<input checked="" type="checkbox"/>	1811	Certificate of Correction	\$100.00	\$100.00
<input type="checkbox"/>	1812	Request for Ex Parte Reexamination	\$2,520.00	
<input type="checkbox"/>	1813	Request for Inter Partes Reexamination	\$8,800.00	
SUB TOTAL			\$ 100.00	

MISCELLANEOUS FEES

	FEE CODE	DESCRIPTION	FEE	SUBMITTED
<input type="checkbox"/>	1053	Non-English Specification	\$130.00	
<input type="checkbox"/>	1806	Submission of an Information Disclosure Statement	\$180.00	
<input type="checkbox"/>	8001	Printed Copy of Patent	\$3.00	\$0.00
<input type="checkbox"/>	8021	Recording Assignment, Agreement or Other Paper	\$40.00	\$0.00
<input type="checkbox"/>	1504/1505	Publication/Republication Fee	\$300.00	
SUB TOTAL			\$ 0.00	

TOTAL FEES SUBMITTED	\$100.00
-----------------------------	-----------------

NAME	Steven B. Kelber	REGISTRATION NO.	30,073
SIGNATURE		DATE	November 10, 2005
NAME		REGISTRATION NO.	
TELEPHONE	202 326-0300		



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No.: 6,908,491 B2 Docket: 70030.0088US01
Issue Date: June 21, 2005 Patentee: Vince FISCHER, et al.
Title: SYSTEM AND METHOD FOR COLOR-REVITALIZING HAIR

REQUEST FOR CERTIFICATE OF CORRECTION

Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

It is requested that a Certificate of Correction be issued correcting printing errors appearing in the above-identified United States patent. Attorney for Applicants hereby wishes to note that the following error has been made:

On the Cover Page of the patent, the Assignee information should be corrected to read as follows:

(73) KAO Corporation,
Tokyo, 103-8210 JAPAN; and

The Andrew Jergens Company,
Cincinnati, OH (US)

In support of this correction, Applicants submit herewith a copy the Assignment that was recorded in the U.S. Patent Trademark Office on June 25, 2002 at reel 012844, frame 0973 correctly setting forth the assignee information.

As the requested correction is necessitated due to Applicant's inadvertent mistake in filling out the Issue Fee Transmittal Form (PTOL-85) submitted March 4, 2004, please charge Deposit Account No. 13-2725 in the amount of \$100.00 to the Certificate of Correction fee.

11/14/2005 SZEWDIE1 00000084 132725 6908491

01 FC:1811 100.00 DA


Issuance of the Certificate of Correction would neither expand nor contract the scope of the claims, and re-examination is not required.

Respectfully submitted,

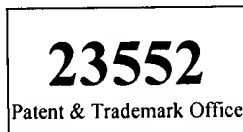
MERCHANT & GOULD P.C.

November 10, 2005

Date


Steven B. Kelber
Registration No. 30,073
Attorney of Record

P.O. Box 2903
Minneapolis, Minnesota 55402-0903
Telephone No. (202) 326-0300
Facsimile No. (202) 326-0778



**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**Page 1 of 1

PATENT NO. : 6,908,491 B2

APPLICATION NO.: 09/983,070

ISSUE DATE : June 21, 2005

INVENTOR(S) : Vince FISCHER, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the Cover Page, the Assignee information should read:

(73) Assignee: KAO Corporation
Tokyo, 103-8210 JAPAN and

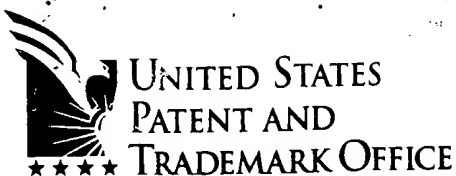
The Andrew Jergens Company
Cincinnati, OH (US)

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Merchant & Gould PC
P.O. Box 2903
Minneapolis, MN 55402-0903

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PIPER RUDNICK LLP

JUL 08 2002

JULY 02, 2002

PTAS
PIPER MARBURY RUDNICK & WOLFE LLP
SUPERVISOR, PATENT PROSECUTION SERVICES
1200 NINETEENTH STREET, N.W.
WASHINGTON, D.C. 20036-2412

Commissioner for Trademarks
Arlington, VA 22202-3513
www.uspto.gov



102133758A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/25/2002

REEL/FRAME: 012844/0973
NUMBER OF PAGES: 4

BRIEF: CORRECTIVE ASSIGNMENT TO CORRECT THE NAME OF THE ASSIGNOR, FILED ON 10/23/2001. PREVIOUSLY RECORDED AT REEL 012494, FRAME 0319.

ASSIGNOR:
FISCHER, VINCE

DOC DATE: 08/09/2001

ASSIGNOR:
SAKAI, MASAHIKO

DOC DATE: 08/09/2001

ASSIGNOR:
ONITSUKA, SATOSHI

DOC DATE: 08/09/2001

ASSIGNOR:
MIYABE, HAJIME

DOC DATE: 08/09/2001

ASSIGNOR:
IKEDA, HIROSHI

DOC DATE: 08/09/2001

ASSIGNOR:
FERGUSON, DAVID

DOC DATE: 10/15/2001

COPY

012844/0973 PAGE 2

ASSIGNEE:

KAO CORPORATION
14-10, NIHONBASHIKAYABACHO 1-
CHOME, CHUO-KU
TOKYO, 103-8210, JAPAN

ASSIGNEE:

ANDREW JERGENS COMPANY, THE
2535 SPRING GROVE AVENUE
CINCINNATI, OHIO 45214-1773

SERIAL NUMBER: 09983070

PATENT NUMBER:

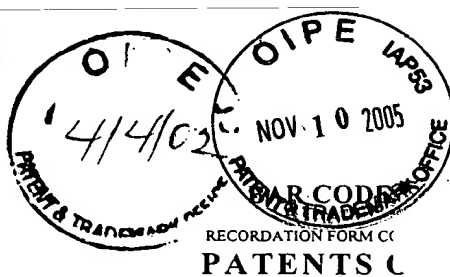
FILING DATE: 10/23/2001

ISSUE DATE:

TONYA LEE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

Docket No.: 3768-088-27

FORM PTO-1595
1-31



07-01-2002



102133758

UNITED STATES

DEPARTMENT OF COMMERCE
Patent and Trademark Office

RECORDATION FORM CC
PATENTS & TRADEMARKS

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Vince FISCHER, Masahiko SAKAI, Satoshi ONITSUKA,
Hajime MIYABE, Hiroshi IKEDA, and David FERGUSON

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: August 9, 2001 and
October 15, 2001

2. Name and address of receiving party(ies):

Name: 1) KAO Corporation
2) The Andrew Jergens Company

Address: 1) 14-10, Nihonbashikayabacho
1-chome, Chuo-ku, Tokyo,
103-8210 Japan

2) 2535 Spring Grove Avenue
Cincinnati, Ohio 45214-1773

Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
09/983,070

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Supervisor, Patent Prosecution Services
PIPER MARBURY RUDNICK & WOLFE LLP
1200 Nineteenth Street, N.W.
Washington, D.C. 20036-2412
Telephone No. (202) 861-3900
Facsimile No. (202) 223-2085

6. Total applications and patents involved: **One**

7. Total fee (37 CFR 3.41): \$40.00

☒ Paid on April 4, 2002.

☐ Authorized to be charged to deposit account

8. Deposit account number: 50-1442
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James M. Heintz

Name of Person Signing

Registration Number: 41,828

Signature

Date

Total number of pages including this cover sheet: 1

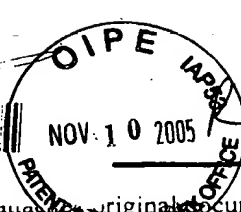
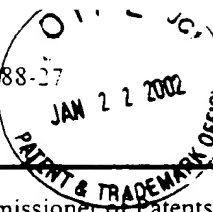
Do not detach this portion

Mail documents to be recorded with required correspondence to:

Docket No.: 3768-088-27

FORM PTO-1595
1-31-92

01-28-2002



Resub m

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101960109

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1-22-02

Vince FISCHER, Masahiko SAKAI, Satoshi ONITSUKA,
Hajime MIYABE, Hiroshi IDEKA, and David FERGUSON

2. Name and address of receiving party(ies):

Name: 1) KAO Corporation
2) The Andrew Jergens Company

Address: 1) 14-10, Nihonbashikayabacho
1-chome, Chuo-ku, Tokyo,
103-8210 Japan

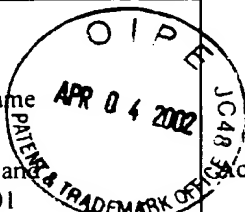
Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

2) 2535 Spring Grove Avenue
Cincinnati, Ohio 45214-1773

Execution Date: August 9, 2001 and
October 15, 2001



Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

09/983070

If this document is being filed together with a new application, the execution date of the application is:

August 9, 2001
and
October 15, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Supervisor, Patent Prosecution Services
PIPER MARBURY RUDNICK & WOLFE LLP
1200 Nineteenth Street, N.W.
Washington, D.C. 20036-2412
Telephone No. (202) 861-3900
Facsimile No. (202) 223-2085

6. Total applications and patents involved: One

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number: 50-1442

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steven B. Kelber

Name of Person Signing

Registration Number: 30,073

Signature

10/23/01

Date

Total number of pages including this cover sheet:

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Assignment of Application

WHEREAS, I (we), _____

Vince FISCHER, 2535 Spring Grove Avenue, Cincinnati, Ohio 45214-1773;

Masahiko SAKAI, 1-3, Bunka 2-chome, Sumida-ku, Tokyo 131-8501, Japan;

Satoshi ONITSUKA, 1-3, Bunka 2-chome, Sumida-ku, Tokyo 131-8501, Japan;

Hajime MIYABE, 1-3, Bunka 2-chome, Sumida-ku, Tokyo 131-8501, Japan; and

Hiroshi IKEDA, 1-3, Bunka 2-chome, Sumida-ku, Tokyo 131-8501, Japan; and

David FERGUSON, 2535 Spring Grove Avenue, Cincinnati, Ohio 45214-1773

_____, respectively,

have invented certain new and useful improvements in: _____

SYSTEM AND METHOD FOR COLOR-REVITALIZING HAIR

for which an application for Letters Patent was executed on August 19, 2001 and
October 15, 2001

(Application No. _____, Filed _____); and

WHEREAS, 1) KAO Corporation and 2) The Andrew Jergens Company

(hereinafter referred to as "ASSIGNEE"), having a place of business at:

1) 14-10, Nihonbashikayabacho 1-chome, Chuo-ku, Tokyo, 103-8210 Japan

2) 2535 Spring Grove Avenue, Cincinnati, Ohio 45214-1773

is desirous of acquiring the full and exclusive right in and to said Invention and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries;

NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, I (we), by these presents do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right in and to said Invention in the United States and its territorial possessions and in any and all foreign countries, and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, continuations, amendments, and

I (we) hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and in any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my (our) entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE and said ASSIGNEE's successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me (us) had this Assignment and sale not been made.

Further, I (we) agree that I (we) will communicate to said ASSIGNEE or said ASSIGNEE's representatives any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally, do everything possible to aid said ASSIGNEE, and said ASSIGNEE's successors and assigns, to obtain and enforce proper protection for said Invention in the United States and its territorial possessions and in any and all foreign countries.

The undersigned hereby grant(s) the firm of Piper Marbury Rudnick & Wolfe LLP, Attorneys at Law, 1200 Nineteenth Street, N.W., Washington, D.C. 20036-2412, the power to insert on this Assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: 8/9/01

(Signature of Inventor) Vince FISCHER

Date: 8/9/01

(Signature of Inventor) Masahiko SAKAI

Date: 8/9/01

(Signature of Inventor) Satoshi ONITSUKA

Date: 8/9/01

(Signature of Inventor) Hajime MIYABE

Date: 8/9/01

(Signature of Inventor) Hiroshi IKEDA

Date: _____

(Signature of Inventor) David FERGUSON

Date: 10/15/01

(Signature of Inventor) _____

PIPER MARBURY